# A Physics-motivated DNN for X-ray CT Scatter Correction

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# Introduction: X-ray CT

• X-ray CT imaging is based on materials absorbing photons according to their electron density



• Used widely for imaging internal structures of the body and non-destructive evaluation [1]



Abdomen and pelvis scan



An electronic board



# **Problem: X-ray Scattering**

• Additive contribution of scattered X-rays to measured signal causes artifacts



• Reconstruction degradations — streaks, cupping, shading artifacts and decreased contrast





Cupping artifact

Shading artifact & decrease in contrast

## **Problem Statement**

• In a 2D monochromatic parallel beam source setting at energy  $E_0$ , the **primary measurement** and **line integral projection** at angle  $\theta \in [0, 2\pi)$  and position  $t \in \mathbb{R}^d$ 

 $p(t,\theta) = I_0 e^{-g(t,\theta)}$   $g(t,\theta) = (Rf)(t,\theta)$ 

 $I_0$ : vacuum (air) measurement , f(x): object,  $x \in \mathbb{R}^2$ , R: 2D Radon transform

#### Assumption: Beer's Law

Photons lost by interaction as attenuation on a straight-line path Compton sc., Rayleigh sc., photoelectric effect

• Reconstruction:  $p(t,\theta) = I_0 e^{-g(t,\theta)} \rightarrow g(t,\theta) = -\ln \frac{p(t,\theta)}{I_0} \rightarrow f(x) = (R^{-1}g)(x)$ 

 $R^{-1}$ : FBP algorithm

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#### Detector Plane



Source at  $\frac{\pi}{2} - \theta$ 

## **Problem Statement**

$$p(t,\theta) = I_0 e^{-g(t,\theta)}$$
  $g(t,\theta) = (Rf)(t,\theta)$ 

Reality: Scattering causes a change in the direction (and energy) of the photon

$$\tau(t,\theta) = p(t,\theta) + s(t,\theta), \quad s(t,\theta) \ge 0$$



• **Reconstruction:** 
$$\tilde{g}(t,\theta) = -\ln \frac{\tau(t,\theta)}{I_0} \to \tilde{f}(x) = (R^{-1} \tilde{g})(x)$$

 $I_0$ : vacuum (air) measurement,

•

 $R^{-1}$ : FBP algorithm

$$\tau(t_2,\theta) \neq p(t_2,\theta) \xrightarrow{0} t_2$$

$$f(x,y)$$

$$\theta$$
he photon
$$f(x,y)$$

$$\theta$$

$$f(x,y)$$

$$f(x,y)$$

$$\theta$$

$$f(x,y)$$

$$f($$

t. /

**Detector Plane** 

 $\tau(t_1, \theta) = p(t_1, \theta)$ 

### **Degradations**



Cupping artifact



Shading artifact & decrease in contrast



Streaking artifact

# **Scatter Correction Methods**



### Hardware-based

- Anti-scatter grids, collimators, primary modulation grids, etc.
- Pros: Successful in specific settings
- Cons:
- 1. Require modification of hardware
- 2. increase in scan time
- 3. Increase in dose

### Software-based

- 1. Scatter estimation from the object:
  - Monte-Carlo (MC)-based scatter estimation
  - Analytical-numerical methods
- 2. Scatter estimation in projection domain
  - Kernel-based
  - Data-driven scatter estimation

# **Scatter Correction Methods**



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- 1. Scatter estimation from the object:
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  - Kernel-based
  - Data-driven scatter estimation
- **3. Proposed method**: Data-driven scatter estimation from *combined* projection & object

### **Iterative Scheme**

• Assumption: Given the object f, can compute the scatter estimate  $s^* = \phi(f)$ 

- Different methods use different  $\phi(.)$ 



# $\phi(.)$ 's: Scatter Estimators from Given Object

MC based scatter correction (Poludniowski et al 2009):

• Stochastically sample photon propagation

Trade-off: stochastic noise X run time

• High computational cost and run times for clinical purposes

Deterministic linear Boltzmann transport equation (LBTE) solver: (Acuros, Maslowski et al 2018)

- Iteratively obtains an approximate solution of LBTE
- Faster than MC methods

Trade-off: accuracy  $\times$  (discretization, # of iterations)

Slice-by-slice approach:

- First-order Compton scatter is modeled by distance-dependent blurring kernels (Bai et al 2000)
- Scatter is represented by convolutions applied to slices vertical to the photon propagation

# Kernel-based & Data-driven methods

### Kernel-based methods:

• Estimate  $s(t, \theta)$  using convolution of weighted  $\tau(t, \theta)$  or an initial primary estimate with specific kernels (Ohnesorge et. al 1999)

$$s^*(t,\theta) = \tilde{\tau}(t,\theta) * G(t,\theta)$$

- Computationally efficient
- **Cons:** Prior assumptions and pre-defined kernels *G*: *kernel*,  $\tilde{\tau}(t, \theta)$ : *weighted*  $\tau(t, \theta)$

#### Data-driven methods:

- Deep scatter estimation (DSE) (Maier et. al 2018)
- Estimate  $s_{\theta}$  using DCNNs:  $s_{\theta}^* = N(\tau_{\theta})$
- Cons: scatter in one projection depends on entire object
   → cannot be determined from data in one view

Loss function includes  $p_{\theta}$  rather than  $g_{\theta}$ 



Block diagram for Kernel-based methods

$$\tau_{\theta} \longrightarrow N_{\gamma}() \longrightarrow S_{\theta}^{*}$$

Block diagram for DSE

# **Proposed Method**

- A new physics-motivated, deep learning-based method to estimate and correct scatter in projection measurements
- New features: i) Incorporates both an initial reconstruction  $\tilde{f}_{\theta}$  and scatter-corrupted measurements  $\tau_{\theta}$

**Scatter estimate for each view angle**  $\theta$  depends on the entire object

ii) <u>Physics-motivated constraints</u>

iii) A specific DCNN

iv) A specific cost function for training

• Operates on normalized quantities,  $\bar{\tau}_{\theta} = \tau_{\theta}/I_0$ ,  $\bar{p}_{\theta} = p_{\theta}/I_0$ ,  $\bar{s}_{\theta} = s_{\theta}/I_0$   $\longrightarrow$  applicability for various  $I_0$ 

# **Block Diagram & Loss Function**



• After processing all views,

$$f^*(x) = (R^{-1}g^*)(x)$$

• Loss function, *L*, for training the network,

$$L(g_{\theta}, g_{\theta}^*) = \min_{\gamma} \|h * (g_{\theta} - g_{\theta}^*)\|_2^2 + \lambda \|g_{\theta} - g_{\theta}^*\|_1$$

- $h[n] = \frac{1}{2}\delta[n+1] \frac{1}{2}\delta[n-1], \ \lambda > 0$
- L is tailored to minimize errors in the reconstructed image,  $f^*(x)$

(1) Particular h selection

(2) Post-log quantities g are used rather than p

## Filter h

- Enables to express the norm of an image-domain error in the projection domain  $\longrightarrow$  No need for FBP in L ( $||Qf||_2$  in terms of  $g_{\theta}$ , Q: a radially symmetric filter)
- Edges are perceptually significant  $\longrightarrow Q$  is HPF
- Using Parseval's identity & projection-slice theorem  $\longrightarrow$   $||Of||_2^2$

$$||Qf||_2^2 = ||h * g||_2^2$$

• Using Shepp-Logan filter in FBP and  $Q(v) = |v|^{0.5}$   $\longrightarrow$  (Short time-domain filter implementation)

$$h[n] = \frac{1}{2}\delta[n+1] - \frac{1}{2}\delta[n-1]$$



- Operates view-by-view
- Inputs:
  - A normalized post-log total measurement at view angle  $\theta: -\ln \bar{\tau}_{\theta} \in \mathbb{R}^d$
  - ii. Initial reconstruction estimate, rotated by  $\theta$ :
- Output:
  - i. Normalized scatter component estimate:

$$\bar{s}^*_{\theta} \in \mathbb{R}^d$$

 $\tilde{f}_{\theta} \in \mathbb{R}^{d^2}$ 



 $\bar{s}_{\theta}^* = N_{\gamma}(\tilde{f}_{\theta}, -\ln \bar{\tau}_{\theta})$ 

# **Data Generation, Training & Experiments**

- $\tau_{\theta}$ : GATE encapsulating GEANT4 MC simulation libraries.
- K = 360 views, each having  $P = 2(10^6)$  photons
- $p_{\theta}$ : Using Beer's Law,  $p(t, \theta) = I_0 e^{-(Rf_{E_0})(t,\theta)}$

### **Training & Experiments:**

- Data is divided into training and validation sets phantom-wise, all measurements of a phantom in the same set, 27 randomly synthesized phantoms
- 200 keV parallel beam setting
- Water, Al & steel objects
- Improvement over the 1D adapted DSE method in numerical experiments

#### **Computational Cost & Run times**

- Total cost  $< 3LKd^3$ , dominated by DCNN
- K = 360 views on GPU  $\rightarrow$  only 4 ms





L: length of the filters in DCNN, d: size of measurements

Results					$f^*$	$f - f^*$
	•	f		Total meas. $ au_{ heta}$		- 1 - ii - 1
$f$ using primary meas. $p_{ heta}$				DSE-1D $p_{ heta}^*$		A MAR
	Uncorr.	DSE-1D	Proposed			
PSNR	31.39	37.90	40.83		10 B	STATUS ST
SSIM	0.94	0.94	0.97			
MAE	39.71	31.06	17.85		L .	Stat Kale
Table: Average reconstruction accuracies				Proposed method $p_{ heta}^*$		



1D line profiles

## Conclusions

- Scattering in X-ray CT produces various degradations in the reconstructions
- A data-driven approach with physics-motivated constraints

specific DCNN architecture

specific cost function

# **Future Work**

- Implementing the method for other CT geometries
- Extending the experiments for polychromatic beam setting & 3D reconstructions

### References

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